APR 2 6 2004 W

#### TRAD Application No. 10/816,253 TRANSMITTAL FORM Filing Date March 31, 2004 (to be used for all correspondence after initial filing) First Named Inventor Victoria V. Genovker Art Unit Not Assigned **Examiner Name** Not Assigned Total Number of Pages in This Submission Attorney Docket Number 42P18643 **ENCLOSURES** (check all that apply) After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) After Final Petition to Convert a Provisional Application Proprietary Information Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Other Enclosure(s) Terminal Disclaimer (please identify below): **Express Abandonment Request** Request for Refund 2 Cited References Information Disclosure Statement - Return Receipt Postcard PTO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Gregory D. Caldwell, Reg. No. 39,926 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature Date April 22, 2004 CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Typed or printed name Deborah L. Higham

Date

April 22, 2004

Signature

# FEE TRANSMITTAL

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known					
Application Number	10/816,253				
Filing Date	March 31, 2004				
First Named Inventor	Victoria V. Genovker				
Examiner Name	Not Assigned				
Art Unit	Not Assigned				
Attorney Docket No.	42P18643				

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
☐ Check ☐ Credit card ☐ Money ☐ Other ☒ None			3. A	DDITIO	NAL	FEES	3	<del></del>	
☐ Check ☐ Credit card ☐ Order ☐ Other ☐ None		Large	Entity	Sma	II Entity	,			
				Fee	Fee	Fee	Fee	_	
Deposit Account		02-2666		Code	(5)	Code	(\$)	Fee Description	Fee Paid
Number				1051 1052	130 50	2051 2052	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or	
Deposit Account	Uakely Soko	oloff Taylor &	Zafman I I P	1032	50	2002	20	cover sheet.	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP			2053	130	2053	130	Non-English specification		
l		o: ( check all that app	••	1812	2,520 920*	1812	2,520 920 °	For filing a request for ex parte reexaminatio Requesting publication of SIR prior to	n
	) indicated below		any overpayments	1804	920	1804	520	Examiner action	
CFR §§ 1.16,	1.17, 1.18 and 1.2	underpayment of fee: 20. except for the filing	s as required under 37	1805	1,840*	1805	1,840	Requesting publication of SIR after Examiner action	
	identified deposit a		,,,,	1251	110	2251	55	Extension for reply within first month	
	FEE CA	ALCULATION		1252	420	2252	210	Extension for reply within second month	
1. BASI	FILING FE	E	-	1253	950	2253	475	Extension for reply within third month	
Large Entity	Small Entity	_		1254	1,480	2254	740	Extension for reply within fourth month	
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description	Fee Paid	1255	1,210	2255	605	Extension for reply within fifth month	
1001 770	2001 385	Utility filing fee		1404	330	2401	165	Notice of Appeal	
1001 340	2002 170	Design filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1003 530	2003 265	Plant filing fee		1403	290	2403	145	Request for oral hearing	
1004 770	2004 385	Reissue filing fee		1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1005 160	2005 80	Provisional filing fe	e	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$)			1453	1,330	2453	665	Petition to revive - unintentional -		
SUBTURE (1)			1501	1,330	2501	665	Utility issue fee (or reissue)		
2. EXTR	A CLAIM FE	EES <sub>Extra</sub> F	ee from	1502	480	2502	240	Design issue fee	
Total Claims		Claims	below Fee Paid	1503	640	2503	320	Plant issue fee	
Independent	24 . 24	= 0 X	18.00 = \$0.00	1460	130	2460	130	Petitions to the Commissioner	
Claims	4 4	■ 0 x	86.00 = \$0.00	1807	50	1807	50	Prosessing fee under 37 CFR 1.17(q)	
Multiple Depender	it		= [	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity Fee Fee	Small Entity Fee Fee	Fee Description		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Code (\$) 1202 18	Code (\$) 2202 9	Claims in excess of	20	1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1201 86	2202 3	Independent claims		1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 290	2203 145	Multiple Dependent		1801	770	2801	385	Request for Continued Examination (RCE)	
1204 86 2204 43 **Reissue independent claims over original patent		1802	900	1802	900	Request for expedited examination			
1205 18	2205 9	**Reissue claims in e original patent	excess of 20 and over		e (specify)	1		of a design application	
	SUBT	TOTAL (2)	(\$) 0.00						
**or nu		nid, if greater, For Rei		*Reduced	by Basic Film	g Fee Pai	i	SUBTOTAL (3)	(\$)
SUBMITT						_			(if applicable)

0.00



### FEETRANSMITTAL for FY 2004

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

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First Named Inventor	Victoria V. Genovker			
Examiner Name	Not Assigned			
Art Unit	Not Assigned			
Attorney Docket No.	42P18643			

04/22/04

Date

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
☐ Check ☐ Credit card ☐ Money ☐ Other ☒ None	3. Al	DDITIO	NAL	FEES	S	
Deposit Account	Large	Entity	Sma	II Entity	,	
Danceil C	Fee Code	Fee (5)	Fee Code	Fee (\$)	- Full Description	<b>5</b>
Deposit Account 02-2666					<u> </u>	Paid
Number	1051 1052	130 50	2051 2052	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or	
Account Blakely Sokoloff Taylor & Zafman LLP					cover sheet.	
Name	2053 1812	130 2,520	2053 1812	130 2,520	Non-English specification  For filing a request for ex parte reexamination	
The Commissioner is authorized to: ( check all that apply)	1804	920*	1804		* Requesting publication of SIR prior to	—
Charge fee(s) indicated below Credit any overpayments  Charge any additional fee(s) or underpayment of fees as required under 37					Examiner action	
CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840*	1805	1,840 '	* Requesting publication of SIR after Examiner action	
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1251	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity	1254	1,480	2254	740	Extension for reply within fourth month	
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1255	1,210	2255	605	Extension for reply within fifth month	
	1404	330	2401	165	Notice of Appeal	_
1001 770 2001 385 Utility filing fee 1002 340 2002 170 Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
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1004 770 2004 385 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$)	1453	1,330	2453	665	Petition to revive - unintentional	
	1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from	1502	480	2502	240	Design issue fee	
Claims   below   Fee Paid     Total Claims   24   24*   0   x   18.00   = \$0.00	1503	640	2503	320	Plant issue fee	
Independent 24 24 = 0 X 18.00 = \$0.00   Claims 4 = 0 X 86.00 = \$0.00	1460 1807	130 50	2460 1807	130 50	Petitions to the Commissioner	
Multiple Dependent	1806	180	1806	180	Prosessing fee under 37 CFR 1.17(q)  Submission of Information Disclosure Stmt	
Lange Entity   Small Entity	8021	40	8021	40	Recording each patent assignment per	
Fee Fee Fee Fee Description	332.		332.	-10	property (times number of properties)	
Code (5) Code (5)	1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 2202 9 Claims in excess of 20	1810	770	2810	205		
1201 86 2201 43 Independent claims in excess of 3  1203 290 2203 145 Multiple Dependent claim, if not paid	1810	770	2010	303	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 290 2203 145 Multiple Dependent claim, if not paid 1204 86 2204 43 **Reissue independent claims over original	1801	770	2801	385	Request for Continued Examination (RCE)	-
patent	1802	900	1802	900	Request for expedited examination	
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other fo	e (specify)	•		of a design application	
	Outer te	e (specity)				
SUBTOTAL (2) (5) 0.00	*Reduced	by Basic Film	g Fee Pai	d	SUBTOTAL (3) (\$)	$\neg$
**or number previously paid, if greater, For Reissues, see below	<u></u>					
SUBMITTED BY	] n	mieteri:	on Me	_	Complete (if applicable)	$\longrightarrow$
Name (Print/Type) Gregory D. Caldwell	N'A	egistratio	וזט וזט. אמי	1 3	39.926   Telephone   (503) 684-620	00

Signature





#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

VICTORIA V. GENOVKER, ET AL.

Application No.: 10/816,253

Filed: March 31, 2004

For: ADVANCED SWITCHING FABRIC DISCOVERY

**PROTOCOL** 

Art Group: Not Assigned

Examiner: Not Assigned

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted within three (3) months of filing of the application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAXLOR & ZAFMAN LLP

Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (503) 684-6200

Date: April 22, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

04-22-04

Deborah L. Higham Date

APR 2 6 2004 Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

of 1 **Sheet** 1

Complete if Known					
Application Number	10/816,253				
Filing Date	March 31, 2004				
First Named Inventor	Victoria V. Genovker				
Art Unit	Not Assigned				
Examiner Name	Not Assigned				
Attorney Docket Number	42P18643				

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	1	BRIAN PEEBLES ET AL., "Fabrics and Application Characteristics for AdvancedTCA* Architectures," Intel Technology Journal, 14 November 2003, pp. 17-28, Volume 07, Issue 04, ISSN 1535-864X, Intel Corporation.			
	2	"Advanced Switching Core Architecture Sepcification, Revision 1.0," December 2003, pp. 1-366, Advanced Switching Interconnect SIG, USA			
		*			
		·			
-			-		

Examiner	Date
Signature	Considered

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

 $<sup>{\ }^{\</sup>text{Lapplicant's unique citation designation number. \textit{Applicant is to place a check mark here if English language Translation is attached.}$